



Practitioner's Docket No. 604.12-US1

2152  
2100580

JCO5 Rec'd PCT/PTO

PCT  
PATENT

26 JUL 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Duncan, et al.**

Group No.: **Not Yet Assigned**

Application No.: **09/856244**

Examiner: **Not Yet Assigned**

Filed: **May 17, 2001**

For: **Load Balancing Via Message Source Selection**

RECEIVED

SEP 10 2001

Box IDS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2100

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

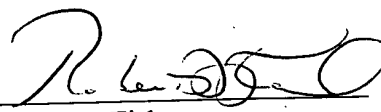
**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

Respectfully submitted,

Date: July 23, 2001

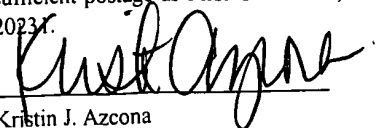
By:

  
Robert D. Fish  
Reg. No. 33,880

Attorneys for Applicant  
Fish & Associates, LLP  
1440 N. Harbor Blvd., Ste. 706  
Fullerton, CA 92835  
Tel: (714) 449-2337  
Fax: (714) 449-2339

**CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Kristin J. Azcona

Date: July 23, 2001



RECEIVED

SEP 10 2001

Applicant's Docket No. 604.12-US1

Technology Center 2100

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231**

In re application of: **Duncan, et al.**

Group: **Not Yet Assigned**

Serial No: **09/856244**

Examiner: **Not Yet Assigned**

Filed: **May 17, 2001**

For: **Load Balancing Via Message Source  
Selection**

**INFORMATION DISCLOSURE STATEMENT**

Box IDS  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the United States Patent and Trademark Office of all references coming to the attention of the Applicant(s) or attorneys or agents for Applicant(s) which are or may be material to the examination of the subject application, attorneys for the Applicant(s) hereby invite the Examiner's attention to the references listed in the accompanying PTO Form 1449 entitled "List of References Cited".

This submission is understood to complement the results of the Examiner's own independent search. The submission of this Disclosure Statement should not be construed as a representation that a search was made, or that the cited items are inclusive of all relevant and material citations that may be available publicly.

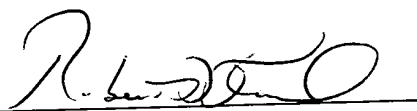
Applicant(s) respectfully request that the Examiner review the foregoing references, as set forth in the Form PTO-1449, and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Fish and Associates, LLP

Dated: July 23, 2001

By: \_\_\_\_\_



Robert D. Fish  
Reg. No. 33,880

Attorneys for Applicant(s)  
1440 N. Harbor Blvd., Suite 706  
Fullerton, CA 92835  
Tel: 714-449-2337  
Fax: 714-449-2339

ATTY. DOCKET NO.

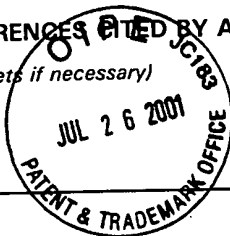
604.12-US1

SERIAL NO.

09/856244

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)



APPLICANT

Duncan, et al.

FILING DATE

May 17, 2001

GROUP

Not Yet Assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,632,018	05/20/97	Electronic Mail System	395	200.04	09/13/93
	BB	5,765,033	06/09/98	System for Routing Electronic Mails	395	200.36	02/06/97
	CC	5,790,789	08/04/98	Method and Architecture for the Creation, Control and Deployment of Services Within A Distributed Computer Environment	395	200.32	08/02/96
	DD						
	EE						
	FF						
	GG						
	HH						
	II						

RECEIVED

SEP 10 2001

## FOREIGN PATENT DOCUMENTS

Technology Center 2100

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	JJ							
	KK							
	LL							
	MM							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	NN	
	OO	
EXAMINER		DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please acknowledge receipt of the following by affixing hereon the Official date stamp and returning this card to our office.

**Information Disclosure Statement**

Title:  
Inventor:  
Filing Date:  
Serial Number:

Load Balancing Via Message Source Selection  
MindArrow - Duncan, et al.  
May 17, 2001  
09/856244

Matter Type:  
Date of Deposit:  
Matter #:  
Enclosures:

Patent - US  
July 23, 2001  
604.12-US1  
(1) IDS transmittal (1 page); (2) Information Disclosure  
Statement (2 pages); (3) Form 1449 (1 page); (4) References  
Cited; and (5) postcard.